Supplemental information

Rapid tree carbon stock recovery in Amazonian managed forests

Ervan Rutishauser, Bruno Hérault, Christopher Baraloto, Lilian Blanc, Laurent Descroix, Eleneide Doff Sotta, Joice Ferreira, Milton Kanashiro, Lucas Mazzei, Marcus V.N. d'Oliveira, Luis C. de Oliveira, Marielos Peña-Claros, Francis E. Putz, Ademir R. Ruschel, Ken Rodney, Anand Roopsind, Alexander Shenkin, Katia E. da Silva, Cintia R. de Souza, Marisol Toledo, Edson Vidal, Thales A.P. West, Verginia Wortel, and Plinio Sist

Inventory of Supplemental Information: Tables S1 and S2 Experimental Procedures Acknowledgements Supplemental References

Due to limited space availability, we provide supplemental online information (OS), graphs and analysis at: http://tmfo.org/Data/CurrentBio.SI/TmFO.code.Amazon.html

Table S1: Information on ACS stocks over time and recovery rates for each of the 90 plots included in this study (provided as an Excel file). Eleven (11) plots (in italic) were discarded from the analysis

Model	ACS ₀ lost (%)	ACS ₀	bulk density	CEC	clay	rainfall	seasonality	BIC	delta	AIC	delta	df	logLik	weight
2	1.1064							248.9015	0	241.79	0	3.0000	-117.8966	0.1443
6	0.9978		0.2537					252.5302	3.629	243.05	1.48	4.0000	-117.5262	0.0688
66	1.0443						0.0032	252.6067	3.705	243.13	1.556	4.0000	-117.5644	0.0662
4	1.0332	0.0518						253.0193	4.118	243.54	1.969	4.0000	-117.7707	0.0539
18	1.1230				-0.0014			253.1164	4.215	243.64	2.066	4.0000	-117.8193	0.0513
34	1.1131					0.0000		253.2668	4.365	243.79	2.216	4.0000	-117.8945	0.0476
10	1.1038			0.0003				253.2670	4.366	243.79	2.217	4.0000	-117.8946	0.0476

Table S2: Alternative models with $\Delta BIC < 5$.

Supplemental Experimental Procedure

1. Site selection and biometric data collection

Ten (10) sites spread across the Amazon Basin and the Guiana Shield were selected based on the following criteria: (i) located in tropical forests with a total area inventoried ≥ 1 ha; (ii) mean annual rainfall ≥ 1000 mm (Fig. S1); (iii) consistent and detailed information about logging treatments (e.g. number of stems harvested and correspondent biomass removal) and logging impacts (e.g. logging damages assessment); (iv) at least one prelogging and (v) at least two post-logging censuses. As sites were generally established by different organizations, there is no standardized protocol for data collection among sites, but all sites comply with generally agreed standards [S1]. A general description of the sites can be found in [S2]. In all plots, trees ≥ 20 cm DBH (diameter at breast height) had their girth measured at 130 cm or above buttresses/deformations, and were tagged and identified to the lowest taxonomical level.

2. Data quality checking and biomass computation

To avoid bias due to discrepancies in data quality (e.g. difference in botanical identification or tree species wood density information), a standardized protocol was applied to each site. At first, botanical identification was checked to match the Global Wood Density Database (GWDD) classification [S3]. Tree species present in GWDD were assigned correspondent dry wood density (WD, gr.cm⁻³). When only the genus was present, genus-average WD was assigned and for unidentified species and species not present in the GWDD, plot-average WD was attributed. In the absence of tree height measurements, tree above-ground biomass (AGB) was estimated

using the generic allometric model developed by Chave et al. [S4] and including WD, DBH and a synthetic climatic index (E).

Above-ground carbon density (ACS) was obtained by multiplying tree biomass by 0.47 [S5]. ACS stock of each plot was further computed as the sum of ACS of live trees DBH ≥ 20 cm divided by the plot surface and expressed in Mg C ha⁻¹.

3. Definition of logging intensity and biomass recovery

The same definition of logging intensity was applied at all sites. Due to varying interval length (1 to 4 years) between pre- and post-logging censuses and application of silvicultural treatments (i.e. poisoning, girdling, understorey clearing) at three sites (Paracou, Tapajos and la Chonta), we estimated the minimum carbon stock (ACS_{min} , Fig. OS2) attained at last within 4 years after logging and computed the difference with initial carbon stock (ACS_0). This initial ACS drop off, referred to as ACS_{loss} , is due to both timber harvest and mortality of damaged trees (that can affect up to 46% of remaining trees [S6]). As residual mortality peaks within the first years preceding logging [S7-8], this approach allows most of logging-induced mortality to be accounted.

We found no evidence of deviation from linearity; therefore, we estimated the annualized ACS recovery rates (Mg C ha⁻¹ yr⁻¹) per plot using linear models among all post-logging censuses spreading between t_{min} and t_{final} (Figure OS3).

Recovery time (t_{rec} in years) refers to the estimated time needed to recover initial ACS stock, given by dividing initial ACS loss by the average recovery rate.

4. Relationship between recovery times and recovery rates

While ACS recovery rates are related to the capacity of a given forest to recover from a disturbance, the recovery time t_{rec} accounts for both the recovery rate and the disturbance intensity (see above). The below demonstration reveals how both variables are mechanically related. By definition:

$$t_{rec} = \frac{|ACS_{loss}|}{ACS \ recovery \ rate}$$

From our results:

$$\begin{split} \log(t_{rec}) &\propto \theta \times \log\left(\frac{|ACS_{loss}|}{ACS_0}\right) for \ \frac{|ACS_{loss}|}{ACS_0} \in [0.05, 0.5] \\ & t_{rec} \propto \left(\frac{|ACS_{loss}|}{ACS_0}\right)^{\theta} \\ & \frac{|ACS_{loss}|}{recovery\ rate} \propto \left(\frac{|ACS_{loss}|}{ACS_0}\right)^{\theta} \end{split}$$

From our results, θ was found to be N (1.106, 0.022) close to 1, meaning that we are very close to

$$recovery rate \propto ACS_0$$

Mechanically, recovery rates could thus depend directly on initial ACS stocks. However, recovery rate relates to more complex mechanisms of forest productivity (i.e. growth, recruitment and mortality) and deserves a separate thorough analysis.

5. Explanatory variables

Several explanatory variables were calculated at each site: (1) average pre-logging ACS stock (ACS₀ in Mg C ha⁻¹); (2) Basal Area-weighted wood density (or community wood density, WD_{BA} in g.cm⁻³); (3) stem density (ha⁻¹); (4) average annual rainfall (mm yr⁻¹) that arose from local weather stations; (5) rainfall seasonality (annual standard deviation) were extracted at each site using WorldClim data [S9] using highest resolution (30 arc-seconds or ~1 km). Due to lack of information at all sites, soil properties were extracted from the Harmonized World Soil raster at a resolution of 30 arc-seconds [S10]. Information on top soil (0-30 cm) quality was extracted at each site: texture, drainage, available water content (range), clay, silt and sand content (%), cation-exchange capacity (CEC, cmol/kg) and bulk density (kg/dm³).

To test for possible circularity between the synthetic climatic index (E) used to compute ACS and the climatic explanatory variables, all analysis were recomputed with another generic allometric model [S11], based on local WD and DBH only. All pattern and variables significance remained unchanged (data not shown).

6. Plot selection and weighing

To ensure that observed biomass recovery was mainly related to logging and to avoid bias due to stochastic natural mortality (e.g. the 2005 drought and fires), we selected only plots (79 out of 90) with positive recovery rates (e.g. that gain biomass/carbon over the monitored period), as a detailed checking revealed that those 11 plots suffered from wildfires and droughts. As our sample plots and sites vary in both total area and length of time monitored for, the contribution of each site was weighted by the monitoring effort (number of censuses x plot size), as recommended by [S12]. Hence, sites with longer and larger monitoring (more prone to capture and depict forest recovery) are given more weight. To avoid artificial inflation of the variance of random effects, the sum of weights was set to 1. Table S1 provides information on initial and final ACS, ACS loss, recovery rate and recovery time for each plot (N=90).

7. Variable selections

Our main point was to understand generic drivers that led recovery time and recovery rate among all sites. We developed a linear mixed model (LMM, package *lme4* [S13]) in which recovery time and rate were tested over the different biometric response variables defined above. To account for the site effect, we introduced a random site effect. Indeed, most sites are constituted of several contiguous plots in which silviculture treatments (e.g. logging, girdling or understorey clearing) of varying intensities were applied. Such experimental design ensures a relative homogeneity in environmental conditions and forest structure, but might also induce pseudo-replication. Pseudo-replication occurs when multiple samples from a single treatment unit are analyzed, as if they were independent replicates and embed to distinguished the effect due to treatment from other sources of variation [S14]. To avoid this bias, a "site-effect" was introduced in the LMM and pre-logging forest structures were accounted for as explanatory variables in the analysis.

The best models are found through conducting an exhaustive screening and ranking using Bayesian Information Criterion (BIC) (package *lmerTest* [S15]). Instead of picking a single "best" model, we averaged the fits of a number of "good" models (model averaging) based on Bayesian Information Criterion (BIC) weights, thereby stressing prediction over precision [S16]. Very good fits were effectively found at each site (Figure OS3). To reduce residual heteroscedasticity, recovery time along with two explanatory variables (ACS logged and number of trees harvested) was log-transformed. Table S2 shows alternative models with Δ BIC < 4.

All analyses were carried out with R language and environment [S 17].

8. Assessing the effect of logging techniques

We ran a second analysis including logging techniques (conventional (CL) and reduced impact (RIL) logging), as a binary variable with an interaction with ACS loss. We found that logging techniques had a significant effect and improved predictions of t_{rec} (BIC = 244.26 vs. 248.9, OS). However, we highly doubt the validity of this result, as conventional (CL) logging was applied at only 2 sites (Paragominas and Tabocal), representing only 7.7% of all plots used in our study. Moreover, both techniques were implemented at Paragominas only, with marked difference in post-logging dynamics [S18]. Due to its size (24.5 ha), this site has a strong leverage in our analysis, leading to conclusions that have little ecological meaning and robustness.

While an increasing number of studies reveals the benefit of RIL techniques for preserving vital environmental services [S19–21], we believe that our dataset is not robust enough to efficiently test for such an effect. Our sites were implemented over the past 30 years, while the concept of RIL techniques emerged in the 90's. However, we do not believe that such a simple dichotomy might reflect the differences in logging techniques, intensity and damages found among our sites. For this reason, we have adopted a broad definition of 'ACS loss' that account for both tree harvested and injured/killed and form a gradient of intensity *sensus largo*, at which RIL forms the lower bound. We think that this approach reflects better the diversity of logging types encountered in our dataset.

Supplemental Author Contributions

L.D., L.M., MdO, K.R., CdS., M.T., E.V, V.W. provided summary statistics at their sites. E.R. and B.R. formatted, checked and analysed the data. E.R., B.R. and P.S. wrote the manuscript. All authors equally contributed in elaborating the protocol and proof-reading the manuscript. All authors read and approved the final manuscript.

Acknowledgments

We are in debt with all technicians and colleagues who helped setting up the plots and collecting data over years. Whithout their precious work, this study would have not been possible and they may be warmly thanked here. This study was carried out in the framework of the Tropical managed Forests Observatory (TmFO), supported by the Sentinel Landscape program of CGIAR (Consultative Group on International Agricultural Research) - Forest Tree and Agroforestry Research Program. Paracou field station is funded by CIRAD and benefits from an "Investissement d'Avenir" grant managed by Agence Nationale de la Recherche (CEBA, ref. ANR-10-LABX-25-01). Marielos Peña-Claros and Marisol Toledo are funded by the EU Seventh Framework Programme (FP7/2007-2013) under grant agreement n° 283093 – The Role Of Biodiversity In climate change mitigatioN (ROBIN). The authors declare that they have no competing interests. We are also grateful to Dr. D. P. Edwards and one anonymous reviewer for their comments to improve this manuscript.

Supplemental References

- Pearson, T., Walker, S., and Brown, S. (2005). Sourcebook for land use, land-use change and forestry projects (BioCarbon Fund & Winrock International).
- S2. Sist, P., Rutishauser, E., Peña-Claros, M., Shenkin, A., Hérault, B., Blanc, L., Baraloto, C., Baya, F., Benedet, F., da Silva, K. E., et al. (2015). The Tropical managed Forests Observatory: a research network addressing the future of tropical logged forests. Appl. Veg. Sci. 18, 171–174.
- S3. Zanne, A. E., Lopez-Gonzalez, G., Coomes, D. A., Ilic, J., Jansen, S., Lewis, S. L., Miller, R. E., Swnson, N. G., Wiemann, M. C., and Chave, J. (2009). Global wood density database. Available at: http://datadryad.org/repo/handle/10255/dryad.235.
- S4. Chave, J., Réjou-Méchain, M., Búrquez, A., Chidumayo, E., Colgan, M. S., Delitti, W. B., Duque, A., Eid, T., Fearnside, P. M., Goodman, R. C., et al. (2014). Improved allometric models to estimate the aboveground biomass of tropical trees. Glob. Change Biol. 20, 3177–3190.
- S5. IPCC (2003). Good practice guidance for land use, land-use change and forestry (GPG-LULUCF) (Kanagawa: PCC-IGES) Available at: http://www.ipccnggip.iges.or.jp/public/gpglulucf/gpglulucf_contents.html.
- Pinard, M. A., and Putz, F. E. (1996). Retaining forest biomass by reducing logging damage. Biotropica, 278–295.
- Blanc, L., Echard, M., Herault, B., Bonal, D., Marcon, E., Chave, J., and Baraloto, C. (2009). Dynamics of aboveground carbon stocks in a selectively logged tropical forest. Ecol. Appl. 19, 1397–1404.
- Sist, P., Mazzei, L., Blanc, L., and Rutishauser, E. (2014). Large trees as key elements of carbon storage and dynamics after selective logging in the Eastern Amazon. For. Ecol. Manag. 318, 103–109.
- Hijmans, R. J., Cameron, S. E., Parra, J. L., Jones, P. G., and Jarvis, A. (2005). Very high resolution interpolated climate surfaces for global land areas. Int. J. Climatol. 25, 1965–1978.
- S10. Nachtergaele, F., and van Velthuizen, H., Verelst, Luc (2012). Harmonized world soil database (FAO) Available at: http://www.fao.org/nr/water/docs/harm-world-soil-dbv7cv.Pdf [Accessed July 15, 2013].
- S11. Chave, J., Andalo, C., Brown, S., Cairns, M. A., Chambers, J. Q., Eamus, D., Folster, H., Fromard, F., Higuchi, N., Kira, T., et al. (2005). Tree allometry and improved estimation of carbon stocks and balance in tropical forests. Oecologia 145, 87–99.
- S12. Phillips, O. L., Aragao, L. E. O. C., Lewis, S. L., Fisher, J. B., Lloyd, J., Lopez-Gonzalez, G., Malhi, Y., Monteagudo, A., Peacock, J., Quesada, C. A., et al. (2009). Drought sensitivity of the Amazon rainforest. Science 323, 1344–1347.

- S13. Bates, D., Maechler, M., Bolker, B. M., and Walker, S. (2014). lme4: Linear mixed-effects models using Eigen and S4 Available at: http://arxiv.org/abs/1406.5823.
- S14. Hurlbert, S. H. (1984). Pseudoreplication and the design of ecological field experiments. Ecol. Monogr. 54, 187–211.
- S15. Kuznetsova, A., Brockhoff, P. B., and Christensen, R. H. B. (2013). ImerTest: Tests for random and fixed effects for linear mixed effect models (Imer objects of Ime4 package). Available at: http://CRAN.R-project.org/package=ImerTest.
- S16. Burnham, K. P., and Anderson, D. R. (2002). Model selection and multimodel inference: a practical information-theoretic approach (Springer).
- S17. R Core Team (2013). R: A language and environment for statistical computing. R Foundation for Statistical Computing, Vienna, Austria. http://www.R-project.org/.
- S18. West, T. A. P., Vidal, E., and Putz, F. E. (2014). Forest biomass recovery after conventional and reducedimpact logging in Amazonian Brazil. For. Ecol. Manag. 314, 59–63.
- S19. Putz, F. E., Zuidema, P. A., Synnott, T., Peña-Claros, M., Pinard, M. A., Sheil, D., Vanclay, J. K., Sist, P., Gourlet-Fleury, S., Griscom, B., et al. (2012). Sustaining conservation values in selectively logged tropical forests: the attained and the attainable. Conserv. Lett. 5, 296–303.
- S20. Edwards, D. P., Tobias, J. A., Sheil, D., Meijaard, E., and Laurance, W. F. (2014). Maintaining ecosystem function and services in logged tropical forests. Trends Ecol. Evol. 29, 511–520.
- S21. Bicknell, J. E., Struebig, M. J., Edwards, D. P., and Davies, Z. G. (2014). Improved timber harvest techniques maintain biodiversity in tropical forests. Curr. Biol. 24, R1119–R1120.